

DECLARATION AND POWER OF ATTORNEY
FOR UTILITY OR DESIGN PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, mailing address and citizenship are as stated next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or I and the other persons listed below are the original, first and joint inventors (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**METHODS AND APPARATUS FOR FORMULATION, INITIAL
PUBLIC OR PRIVATE OFFERING, AND SECONDARY
MARKET TRADING OF RISK MANAGEMENT CONTRACTS**

the specification of which is attached hereto unless the following box is checked:

☐ was filed on _____
as United States Application Number or
PCT International Application Number
_____ and was amended on
_____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority under Title 35, United States Code, Section 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT International application having a filing date before that of the application on which priority is claimed.

PRIOR FOREIGN APPLICATION(S)

Priority
Not Claimed

(Number) (Country) (Day/Month/Year Filed) ☐

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

60/240,903 October 17, 2000
(Application No.) (Filing Date)

60/284,051 April 16, 2001
(Application No.) (Filing Date)


I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT International application designating the United States, listed below.

(Appl. No.) (Filing Date) (Status: Patented, Pending, Abandoned)

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the practitioners associated with Customer Number 26116 as my/our attorneys or agents to prosecute this application and to transact all business in the United States Patent and Trademark Office connected therewith, and direct that all correspondence be addressed to the address associated with that Customer Number.

Customer Number 26116	 26116 PATENT & TRADEMARK OFFICE
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Attorney Docket: 17066/00103

Attorney Docket No. 17066/00103

Full name of sole or first joint inventor:

John NAFEH
First Middle Last

Inventor's signature: John Nafeh

Date: 8/4/01

Residence: Portola Valley California USA
(city) (state) (country)

Citizenship: United States of America

Mailing Address: 7 Thistle

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Full name of second joint inventor:

Kenton K. YEE
First Middle Last

Inventor's signature: _____

Date: _____

Residence: Stanford California USA
(city) (state) (country)

Citizenship: United States of America

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John NAFEH
First Middle Last

Inventor's signature: _____

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Full name of second joint inventor:

Kenton K. YEE
First Middle Last

Inventor's signature: _____

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